DISCLOSURE DIVISION

☒ WAIVER REQUEST
☐ ANSWER
☐ RECONSIDERATION REQUEST
☐ UNTIMELY

DATE: 10/8/2020

DOCKET #: 2020-760

Ashley Wimberley, Director
Disclosure Division

FILER INFORMATION

Name: Dennis Paul Williams
Address: 402 W. Port St., St. Martinville, LA 70582
Office/Position: St. Martinville City Council / District 3 / St. Martinville / St. Martin
Dates of Service: 2009-2019
Number of Disclosures and/or Amendments Filed with Agency: 17

REPORT INFORMATION

Name of Report: Tier 3 Annual Personal Financial Disclosure covering calendar year 2016 - Amendment
Report ID: PFD17006589
Original Due Date: 5/15/2017
Initial PFD Filed on: 5/16/2017
NOD-amend Received: 4/24/2018 - Signed by: Dennis Williams
Amendment/Answer Due Date based on NOD:5/3/2018
Amendment/Answer Filed: 10/24/2018

LATE FEE INFORMATION

Amount of Late Fee: $1500
Days late from receipt of NOD: 174
Total days late from initial due date: 527
Late Fee Order Received: 7/2/2020
Payment/Waiver Request Due Date: 7/22/2020
Waiver Request Received: 7/21/2020

COMMENTS:Mr. Williams stated several situations prevented him from amending timely. When he received the first letter, he was amidst an Election Recall. Mr. Williams said the Recall was initiated before he was sworn into his new term, and soon after, he was blighted by false accusations, harassment, defamation, loss of financial possibilities within his business and court hearings. Fighting the recall "significantly engulfed" all of his time and hindered his daily activities. In October 2018, he received a notice and immediately contacted the Investigator. He amended disclosure, confirm receipt of his amendment and was informed by the Investigator that nothing further was needed. He stated he hadn't received any other letters regarding the matter until he received the Late Fee Order. He then contacted the PFD Director, and learned that several unsuccessful attempts had been made to contact him. He was subpoenaed, however, does not recall being served, so he contacted the St. Martin Parish Sheriff's Office, but was unable to obtain the service records to prove he did not sign for the subpoena. Another notice was returned to BOE by the USPS and marked as "No access to delivery location". A second subpoena was issued but the officer was unable to serve him at his home address. He believes the failed attempts made during his recall, were orchestrated by the people that sought his removal, so his notices/mail were deliberately withheld. The most recent notice, regrettably comes at a very tumultuous time in his life, as he is trying to navigate the circumstances of Covid-19". He finances have been impacted, as he has lost his main source of income as a self-employed musician due to lack of events, business closures and mandated restrictions. At this moment, he and his family are struggling with the financial strain. He graciously asks the Ethics Board to take his financial situation into account. This is Mr. Williams' first late fee.

NOD - 2/2/16
returned 3-16-16
- 3/19/18
Served 4/24/18
Second Notice - 9/20/18
issue late fee 2/14/19
Late Fee - 2/20/19 6/29/20
7/19/19 received 7/2/20

OTHER LATE FEE INFORMATION

Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

Add info req.

ETHICS BOARD RECD
JUL 21 '20 PM 1:25

7/18/2020

Department of State Civil Service
Louisiana State Board of Ethics
P. O 4368
Baton Rouge, LA 70821

To whom it may concern:

Greetings to all board members and respective directors within the Board of Ethics departments. I, Dennis Paul Williams, am humbly petitioning that all fees associated with the late filing of my financial disclosure statement be waived, based on several previously on-going situations within the timeframe of the submission of my Amended Tier 3 Annual Personal Financial Disclosure and the recently received certified letter sent by Donna Bourgeois, Administrative Coordinator.

As an elected-official, I have faithfully served my community for over sixteen years. During that timeframe I have successfully submitted all required documentation to the state in a timely manner, previously incurring no penalties or violations within my tenure. During the time that I originally received the first certified letter regarding the late filing, I was amidst a recall. The recall was initiated before I was even sworn into my new term. It completely blind-sighted me and soon afterwards I was blighted with false accusations, harassment, character defamation, loss of financial possibilities within my business and court hearings, hence, the late filing of my Tier 3 Annual Personal Financial Disclosure. Fighting the recall significantly engulfed all my time and completely hindered me in my normal day to day activities. (see Exhibit A of supporting documents) (additional corresponding documents to follow the submission of this waiver). Some documents added to my file by Ashley Wimberly include news articles about the recall and records of timely filings and no previous penalties.

In October of 2018, I received a certified letter from investigator, Roy Brees. I immediately contacted him and emailed my Amended Tier 3 document. I then called his office to ensure he had received it. Mr. Roy Brees confirmed that he had received. I asked the investigator Roy Brees, if there was anything more that needed to be done on my part and he clarified that he had everything that he needed and nothing more needed to be done. (see Exhibit B of supporting documents).

From my last interaction with Roy Brees in October of 2018, I did not receive any additional correspondence regarding the matter until June 29 of 2020. I immediately contacted Mrs. Ashley Wimberly, the Director of the Disclosure Division. Mrs. Wimberly informed me that the late fees were assessed based on the late filing of Amended Tier 3 Financial Disclosure. This was the first contact that had been made to me from the state since October of 2018. (see Exhibit C of supporting documents).

Through my interaction with Mrs. Wimberly, I learned that several unsuccessful attempts had been made by the state to contact me regarding this issue. The first attempt made by the Louisiana Board of Ethics, the letter was lost and unreturned. (see Exhibit D #1 of supporting documents). The second attempt made by the Louisiana Board of Ethics stated that it was served, but I do not recall being served on that occasion. I contacted Mrs. Wimberly to inquire if her department keeps record of recipient signatures. She informed me that the sheriff's department would hold most likely hold that record, but

her office did not. I contacted the St. Martin parish sheriff's office, but unfortunately, I was unable to retrieve that record. (see Exhibit D #2 of supporting documents). The third attempt made by the Louisiana Board of Ethics, was returned to the sender by USPS. The reason for the return rendered by USPS was "No access to delivery location". No additional attempts by USPS were made to attempt re-delivery. (see Exhibit D #3 of supporting documents). The fourth attempt made by the Louisiana Board of Ethics was an attempt to serve. The reason that was stated read failure to serve after a due and diligent search and delivery attempts, they were unable to serve me at my home address. No attempts were made to serve me at any other location or City Hall. (see Exhibit D #4 of supporting documents). It is my belief that because these attempts were made during the active recall, people that sought my removal, deliberately withheld any correspondence that the state attempted to make to me at that time. I have addressed my concerns with the postmaster of the St. Martinville branch of the USPS. Had I received one of the many letters sent by the Board of Ethics in 2018-2019, I would have immediately settled this matter.

The most recent correspondence that I received in June of 2020, regrettably comes at a very tumultuous and different time in my life. All of us are trying to navigate within this new and unprecedented circumstance called Covid-19. Personally, my finances have directly taken a hit. I have lost my main source of income as a self-employed musician due to lack of gigs, business closures and state-mandated restrictions. At this moment, my family and I are struggling with financial strain. I am, without a doubt, more than willing to comply, but I graciously ask this board to take my financial situation into account as well. (see Exhibit E of supporting documents) (un-employment).

I would like to thank The Louisiana State Board of Ethics for the valiant efforts that were made to remedy this issue. A special thanks to Ashley Wimberly, who was extremely helpful in her willingness to answer all my questions and address each of my concerns. I am sincerely grateful for this opportunity to state my case within this waiver.

Dennis Paul Williams, Councilman
District #3
Saint Martinville, LA.

ETHICS BOARD RECEIVED
JUL 21 '20 PM 1:28

Exhibit A

St Martin Parish Clerk of Court 09-06-20 8 11:36:49 a.m. 1/1
Received SMPCOC
3373942240

RECALL PETITION
(FOR ALL OFFICES EXCEPT STATEWIDE OFFICES)

TO THE HONORABLE John Bell Edwards, GOVERNOR OF LOUISIANA

In accordance with La. Revised Statutes, Title 18, Section 1300.1, et seq., the undersigned request that an election be called and held in the appropriate voting area for the purpose of recalling:

Dennis Paul Williams (name of official), St. Martinville City Councilman District 3 (title of official; include municipality, if municipal office),

Parish(es) of St Martin (list all parishes in voting area).

(list all parishes in voting area)(continued)

Reason(s) for Recall Lack of representation for District 3, Lack of effort to achieve progression for District 3

We designate Daija Kaija Alexander (full name), 1019 Church St. St. Martinville La 70582 (residence address) as chairman to act for the signers of this petition in all matters, and

we designate Julie Mitchel Batiste (full name), 304 West Hamilton St. St. Martinville La. 70582 (residence address) as vice-chairman to act on order of the chairman or in case of the death, disability, absence or resignation of the chairman. (The chairman and vice-chairman SHALL be qualified voters in the voting area from which the public official is subject to this recall pursuant to La. R.S. 18:1300.5).

Daija Alexander (signature of chairman) Julie Batiste (signature of vice-chairman)

- The chairman and vice-chairman must file the petition with the Secretary of State along with copies of a picture identification that contain the name and signature of the chairman and vice chairman, respectively, or copies of current utility bills, bank statements, government checks, paychecks, or other government documents that show the name and address of the chairman and vice chairman, respectively.
- Upon the signature of the first elector this recall petition is a public record, and the chairman or vice-chairman SHALL be the custodian of this public record until it is filed with the registrar of voters.
- No signatures SHALL be collected until the chairman receives notice of the endorsement date from the Louisiana Secretary of State

Louisiana Secretary of State endorsement date: ☐ Hand Delivered ☒ Mailed ☐ Other

Secretary of State received and endorses this filing on the 17th (day) day of July (month), 2018 (year) HCM (person who endorsed the petition)

RECEIVED AND FILED
SEP - 4 2018
Kaula Menard
DEPUTY CLERK OF COURT
ST. MARTIN PARISH

Recall Petition (Non-Statewide) (Rev. 5/18)

Prepared and Furnished by Secretary of State
Approved by Attorney General pursuant to La. R.S. 18:1300.2A(2)

PLAINTIFF'S EXHIBIT 1 8/1/25
PENGAD 800-631-6989

Gmail

Exhibit B

Nichelle Feresta <ryandenise21@gmail.com>

Docket # 2018-839 Amended (Councilman Dennis Paul Williams)

2 messages

Ryan Williams <ryandenise21@gmail.com>
To: <roy.brees@la.gov>
Cc: Dennis Paul Williams <dennispaulwilliams@gmail.com>

Wed, Oct 24, 2018, 12:23 PM

Image.jpg, Image (2).jpg

Roy Brees (ETHICS) <Roy.Brees@la.gov>
To: Ryan Williams <ryandenise21@gmail.com>

Mon, Oct 29, 2018, 9:35 AM

Received.

Thanks,

Roy Brees
Staff Investigator
LOUISIANA BOARD OF ETHICS
LaSalle Building, 10th Floor
617 North Third Street (70802)
Baton Rouge, LA
(225) 219-5625
Roy.s.brees@la.gov

"I am only one, but still, I am one. I cannot do everything but I can do something. And, because I cannot do everything, I will not refuse to do what I can." — Edward Everett Hale, American clergyman and writer (1822-1909)

IMPORTANT WARNING: This email message is intended for the use of the person or entity to which it is addressed and may contain information that is privileged and confidential, the disclosure of which is governed by applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this information is STRICTLY PROHIBITED. If you have received this message by error, please notify us immediately at (800) 842-6630 or (225) 219-5600 and destroy the related message

From: Ryan Williams [mailto:ryandenise21@gmail.com]

Exhibit B

Sent: Wednesday, October 24, 2018 12:23 PM
To: Roy Brees (ETHICS) <Roy.Brees@LA.GOV>
Cc: Dennis Paul Williams <dennispaulwilliams@gmail.com>
Subject: Docket # 2018-839 Amended (Councilman Dennis Paul Williams)

AMENDED
Chet # 2018-839

Exhibit C

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT(ANNUAL)

This Report Covers Calendar Year: 2016

☐ ORIGINAL REPORT
☒ AMENDED REPORT
☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (COVERING JANUARY 1 THROUGH JANUARY [ ])

Final reports must be filed on or before May 15 of the year in which your service to that office ends.
Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility.

Office/Position Held: City Councilman (District 3)

Name (print full name): Dennis Paul Williams
Mailing Address: 402 West Port Street
City, State, Zip: Saint Martinville, LA, 70582

Name of Spouse(if applicable) (print full name): Paulette Della Raymond-Williams
Spouse's Occupation: Gifted Heart Services LLC
Principal Business Address: 717 N. Main Street
City, State, Zip: St. Martinville, LA 70582

Check all that apply:

☐ I have filed my federal income tax return for the previous year.
☐ I have filed for an extension of my federal income tax return for the previous year.
☑ I have filed my state income tax return for the previous year.
☐ I have filed for an extension of my state income tax return for the previous year.

NOTE: La. R.S. 42:1124.3 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

Certification of Accuracy

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

Signature of Filer

Exhibit C

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

Schedule B: Filer/Spouse Income from the State, Political Subdivisions, and/or Gaming Interests

☐ Check if not applicable

(income which exceeded $250 from each source)

☒ Filer ☐ Spouse

Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming Interest

Name of Income Source: City of St. Martinville

Address: 120 New Market St.

City, State, Zip: Saint Martinville, LA, 70582

Amount of Income (exact dollar amount): $ 9,396.96

☐ Filer ☐ Spouse

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $ _____

☐ Filer ☐ Spouse

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $ _____

* You are required to complete SCHEDULE B if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
*The definition for (and examples of) political subdivision, gaming interest, and business are found in the *Instructions Section* of this form.

STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

D #1

CERTIFIED MAIL
NO. 70171070000028313408
RETURN RECEIPT REQUESTED

February 2, 2018

Dennis Paul Williams
402 W. Port St.
St. Martinville, LA 70582

RE: **NOTICE OF DELINQUENCY - AMEND**
PFD17006589

Dear Dennis Paul Williams:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of your Tier 3 Personal Financial Disclosure Statement covering 2016 that was filed with this office on May 16, 2017, indicates the following error(s) or omission(s):

You are required to disclose income received (during calendar year 2016) from the City of St. Martinville on SCHEDULE B.

You have 7 business days from the date of receipt of this Notice to file an amendment to your Statement, or to submit a written Answer contesting the allegations. **Failure to file** within the 7 days will subject you to an automatic late fee of $50 per day up to a maximum of $1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

If you would like to view the report that was initially filed to further explain the omission and/or correction needed, you may visit our website at www.ethics.la.gov. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Tammy Frazier
Compliance Investigator

COPY

1st Notice
LOST
NOT Returned

AN EQUAL OPPORTUNITY EMPLOYER

V #1

ALERT: DUE TO LIMITED TRANSPORTATION AVAILABILITY AS A RESULT OF NATIONWIDE CO...

USPS Tracking®

FAQs >

Track Another Package +

Tracking Number: 70171070000028313408

Remove X

Status Not Available

The tracking number may be incorrect or the status update is not yet available. Please verify your tracking number and try again later.

Feedback

Can't find what you're looking for?

Go to our FAQs section to find answers to your tracking questions.

FAQs

COPY

STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

D #1

March 19, 2018

Dennis Paul Williams
402 W. Port St.
St. Martinville, LA 70582

RE: **NOTICE OF DELINQUENCY - AMEND**
PFD17006589

Dear Dennis Paul Williams:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of your Tier 3 Personal Financial Disclosure Statement covering 2016 that was filed with this office on May 16, 2017, indicates the following error(s) or omission(s):

You are required to disclose income received (during calendar year 2016) from the City of St. Martinville on SCHEDULE B.

You have 7 business days from the date of receipt of this Notice to file an amendment to your Statement, or to submit a written Answer contesting the allegations. **Failure to file** within the 7 days will subject you to an automatic late fee of $50 per day up to a maximum of $1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

If you would like to view the report that was initially filed to further explain the omission and/or correction needed, you may visit our website at www.ethics.la.gov. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Tammy Frazier
Compliance Investigator

COPY

D#2

LOUISIANA ADMINISTRATION OF FINANCE
RECEIVED
2016 APR 21 PM 12: 58

SERVICE RETURN

IN RE: DENNIS PAUL WILLIAMS
Tier 3 Annual Personal Financial Disclosure Covering
calendar year 2016 - Amendment
Report ID: PFD17006589

STATE OF LOUISIANA
BOARD OF ETHICS

TO: DENNIS PAUL WILLIAMS
402 W. PORT ST.
ST. MARTINVILLE, LA 70582

This NOTICE was issued at the request of Disclosure Director Robin Gremillion.

DENNIS PAUL WILLIAMS is requested to submit certain documents to the *Louisiana Board of Ethics, Post Office Box 4368, Baton Rouge, Louisiana 70821*, within **7 business days from the date of receipt of this Notice.**

3·19 TF

RETURN INFORMATION

THIS SUBPOENA MUST BE SERVED PERSONALLY OR AT THE DOMICILIARY ADDRESS LISTED ABOVE. IF THE ADDRESS IS FOUND TO BE INCORRECT, PLEASE RETURN THE SUBPOENA TO THIS AGENCY. IF AN UPDATED ADDRESS IS AVAILABLE, PLEASE PROVIDE THE INFORMATION SO THAT THE SUBPOENA CAN BE REISSUED.

The attached documents were served on the above party as follows:

✓ **PERSONAL SERVICE:**
- Served on the above named party at: 402 W. Port St. St Martinville, LA 70582

____ **DOMICILIARY SERVICE:**
- Served on the following named: _____
by leaving the same at the domicile in the hands of:
_____
- Relationship to named party: _____, a person of suitable age and discretion residing in the said domicile at: _____
_____

____ **DUE AND DILIGENT:**
- After diligent search and inquiry, unable to find the party herein named.

This 15th day of April, 2018 at 5:45 A.M./P.M.

TPR. Gilmott #2750
SIGNATURE – SERVING OFFICER

TITLE

STF

COPY

2nd Notice Served to ...

STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

D#3

CERTIFIED MAIL

NO. 70163560000050505812

RETURN RECEIPT REQUESTED

February 20, 2019

Dennis Paul Williams
402 W. Port St.
St. Martinville, LA 70582

RE: Tier 3 Annual Personal Financial Disclosure Statement for 2016 - Amendment
St. Martinville City Council
Late Fee Assessment – Report: PFD17006589

Dear Dennis Paul Williams:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of $1,500 payable to the Louisiana State Treasurer, Post Office Box 4368, Baton Rouge, Louisiana 70821.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver** to the *Board of Ethics*
  If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.

- **Appeal the assessment** directly to the *Ethics Adjudicatory Board*
  If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Karen Winfrey at either 225/219-5600 or 1-800-842-6630.

Sincerely,

Karen Reines Winfrey

Karen Winfrey, Director
Disclosure Division

COPY

3rd Notice
Letter Returned
By USPS

D#3

STATE OF LOUISIANA
BOARD OF ETHICS

In Re: Tier 3 Annual Personal Financial Disclosure Statement 2016 - Amendment
St. Martinville City Council
Late Fee Assessment – Report: PFD17006589

ORDER

DENNIS PAUL WILLIAMS was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 pursuant to La. R.S. 42:1124 et seq.

On April 24, 2018, DENNIS PAUL WILLIAMS received a Notice of Delinquency requiring that an amendment be filed by May 3, 2018 (pursuant to La. R.S. 42:1124.4). DENNIS PAUL WILLIAMS filed the amended Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 on October 24, 2018 and was 174 days late.

La. R.S. 42:1124.4 authorizes the assessment of a $50 late fee per day (not to exceed $1,500) against DENNIS PAUL WILLIAMS for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of $1,500 be assessed against DENNIS PAUL WILLIAMS for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016.

ORDER signed on the 20th day of February 2019 at Baton Rouge, Louisiana.

Karen Reines Winfrey

Karen Winfrey
Disclosure Division Director

CERTIFIED MAIL/RETURN RECEIPT: 70163560000050505812

COPY

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

CERTIFIED MAIL

LOUISIANA BOARD OF ETHICS
P. O. Box 4368
Baton Rouge, LA 70821

7016 3560 0000 5050 5812

U.S. POSTAGE PITNEY BOWES
ZIP 70802 $ 006.80
02 4W
0000356849 FEB 20 2019

FUNC

February 20, 2019

Dennis Paul Williams
402 W. Port St.
St. Martinville, LA 70582

NIXIE 708 FE 1 0003/20/19
RETURN TO SENDER
ATTEMPTED - NOT KNOWN
UNABLE TO FORWARD

BC: 70821436868 *2793-10661-20-45

COPY

D#3

ALERT: DUE TO LIMITED TRANSPORTATION AVAILABILITY AS A RESULT OF NATIONWIDE COVID-19 IMPACTS, P...

USPS Tracking®

D#3

FAQs >

Track Another Package +

Tracking Number: 70163560000050505812

Remove X

Your item has been delivered to the original sender at 8:44 am on April 1, 2019 in BATON ROUGE, LA 70801.

Delivered

April 1, 2019 at 8:44 am
Delivered, To Original Sender
BATON ROUGE, LA 70801

Feedback

Tracking History

April 1, 2019, 8:44 am
Delivered, To Original Sender
BATON ROUGE, LA 70801
Your item has been delivered to the original sender at 8:44 am on April 1, 2019 in BATON ROUGE, LA 70801.

Reminder to Schedule Redelivery of your item

March 29, 2019, 8:01 am
Available for Pickup
BATON ROUGE, LA 70821

March 25, 2019, 10:59 am
Available for Pickup
BATON ROUGE, LA 70821

March 25, 2019, 9:27 am
Arrived at Unit
BATON ROUGE, LA 70801

D #3

March 24, 2019
In Transit to Next Facility

March 20, 2019, 6:48 pm
Departed USPS Regional Facility
BATON ROUGE LA PROCESSING CENTER

March 20, 2019, 11:19 am
Arrived at USPS Regional Facility
BATON ROUGE LA PROCESSING CENTER

March 9, 2019, 11:56 am
Unclaimed/Being Returned to Sender
SAINT MARTINVILLE, LA 70582

February 22, 2019, 10:45 am
Delivery Attempted - No Access to Delivery Location
SAINT MARTINVILLE, LA 70582

February 22, 2019, 7:39 am
Arrived at Unit
SAINT MARTINVILLE, LA 70582

February 22, 2019, 3:08 am
Arrived at USPS Regional Facility
LAFAYETTE LA DISTRIBUTION CENTER

February 20, 2019, 10:59 pm
Departed USPS Regional Facility
BATON ROUGE LA PROCESSING CENTER

February 20, 2019, 10:42 pm
Arrived at USPS Regional Facility
BATON ROUGE LA PROCESSING CENTER

Feedback

Product Information

See Less

Can't find what you're looking for?

We apologize the carrier was unable to deliver to your address.

If you received this message on a Sunday, we will automatically reattempt delivery the next business day. *No further action is needed at this time.*

If it's been more than 24 hours since the initial No Access scan, to save time a service request can be sent by email to your local Post Office™ facility for follow-up.

You will receive a confirmation number and a contact within 2-3 business days.

NO ACCESS

Your carrier was unable to deliver to your address.

There are a variety of reasons this may have occurred such as: a non-delivery day, address is in a gated community, or animal interference, etc.

D#3

D#4

STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

July 19, 2019

Dennis Paul Williams
402 W. Port St.
St. Martinville, LA 70582

RE: Tier 3 Annual Personal Financial Disclosure Statement for 2016 - Amendment
Councilman for District 3 - City of St. Martinville -
Late Fee Assessment – Report: PFD17006589

Dear Dennis Paul Williams:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of $1,500 payable to the Louisiana State Treasurer, Post Office Box 4368, Baton Rouge, Louisiana 70821.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver** to the *Board of Ethics*
  If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.

- **Appeal the assessment** directly to the *Ethics Adjudicatory Board*
  If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Kristy F. Gary at either 225/219-5600 or 1-800-842-6630.

Sincerely,

Donna Bourgeois

Donna Bourgeois
Administrative Coordinator

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7/19/19

SERVICE RETURN

IN RE: DENNIS PAUL WILLIAMS
Tier 3 Annual Personal Financial Disclosure covering calendar year 2016 - Amendment
Report ID: PFD17006589

STATE OF LOUISIANA
BOARD OF ETHICS

TO: DENNIS PAUL WILLIAMS
402 W. PORT ST.
ST. MARTINVILLE, LA 70582

This LATE FEE NOTICE/ORDER was issued at the request of Disclosure Director Kristy F. Gary.

DENNIS PAUL WILLIAMS is hereby assessed a late fee in connection with the above referenced disclosure statement and is requested to submit payment to the *Louisiana Treasury, Ethics Administration, P.O. Box 4368, Baton Rouge, Louisiana 70821.*

DENNIS PAUL WILLIAMS has **20 days from receipt of this Notice** to submit payment or dispute this assessment. 7/19/19 D.B.

RETURN INFORMATION

THIS SUBPOENA MUST BE SERVED PERSONALLY OR AT THE DOMICILIARY ADDRESS LISTED ABOVE. IF THE ADDRESS IS FOUND TO BE INCORRECT, PLEASE RETURN THE SUBPOENA TO THIS AGENCY. IF AN UPDATED ADDRESS IS AVAILABLE, PLEASE PROVIDE THE INFORMATION SO THAT THE SUBPOENA CAN BE REISSUED.

The attached documents were served on the above party as follows:

____ **PERSONAL SERVICE:**

- Served on the above named party at: _____

____ **DOMICILIARY SERVICE:**

- Served on the following named: _____ by leaving the same at the domicile in the hands of: _____
- Relationship to named party: _____, a person of suitable age and discretion residing in the said domicile at: _____

✓ **DUE AND DILIGENT:**

- After diligent search and inquiry, unable to find the party herein named.

This 27 day of July, 2014 at 0900 A.M./P.M.

SIGNATURE – SERVING OFFICER

ST R Watson 2358
TITLE

COPY

ETHICS BOARD RECD
AUG 1 '19 AM 9:05

4th Notice
SERVED
ATTEMPTED

D#4

STATE OF LOUISIANA
BOARD OF ETHICS

In Re: Tier 3 Annual Personal Financial Disclosure Statement 2016 - Amendment
Councilman for District 3 - City of St. Martinville -
Late Fee Assessment – Report: PFD17006589

ORDER

DENNIS PAUL WILLIAMS was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 pursuant to La. R.S. 42:1124 et seq.

On April 24, 2018, DENNIS PAUL WILLIAMS received a Notice of Delinquency requiring that an amendment be filed by May 3, 2018 (pursuant to La. R.S. 42:1124.4). DENNIS PAUL WILLIAMS filed the amended Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016 on October 24, 2018 and was 174 days late.

La. R.S. 42:1124.4 authorizes the assessment of a $50 late fee per day (not to exceed $1,500) against DENNIS PAUL WILLIAMS for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of $1,500 be assessed against DENNIS PAUL WILLIAMS for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2016.

ORDER signed on the 19th day of July 2019 at Baton Rouge, Louisiana.

Kristy Gary

Kristy F. Gary
Deputy Ethics Administrator

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Exhibit E

Claim Details

Claim Details

Claim Details

Below are the details of your current benefit claim. You may find more information by clicking the *More Information* link.

Claim #:	5162038	**Claim Effective Date:**	2/2/2020
Claim Type:	New	**Benefit Year End Date:**	12/26/2020
Claim Status:	Regular Active	**Payment Type:**	Direct Deposit
Available Credits:	$4,173.00	**Weekly Benefit Amount:**	$107.00
Claim Benefit Balance:	$2,889.00	**Claim Under Review:**	No
Claim Benefit Paid	$1,284.00	**Unresolved Issues:**	No
Federal Tax Withheld:	Yes	**State Tax Withheld:**	No

[More Information]

Outstanding Claim Issues

Outstanding Claim Issues

Outstanding Claim Issues

No Outstanding Issues have been found for this claim.

Weekly Benefit Certifications

Weekly Benefit Certifications

Weekly Benefit Certifications

Below are the weeks for which you have completed certifications to continue your

Exhibit E

unemployment benefits.

#	Week Ending	Certification Filing Date	Benefit Pay Date	Payment Number	Benefit Amount	Federal Withholding	Stimulus Amount	Payment Amount
23	07/11/2020	7/12/2020 9:45:41 PM	7/13/2020	15960286	$107.00	$71.00	$540.00	$96.00
22	07/04/2020	7/5/2020 10:42:32 PM	7/6/2020	15635580	$107.00	$71.00	$540.00	$96.00
21	06/27/2020	6/28/2020 9:40:07 PM	6/29/2020	15313616	$107.00	$71.00	$540.00	$96.00
20	06/20/2020	6/21/2020 4:36:23 AM	6/22/2020	14919669	$107.00	$71.00	$540.00	$96.00
19	06/13/2020	6/14/2020 7:32:45 PM	6/15/2020	14613031	$107.00	$71.00	$540.00	$96.00
18	06/06/2020	6/7/2020 1:59:42 PM	6/8/2020	14403647	$107.00	$71.00	$540.00	$96.00
17	05/30/2020	5/31/2020 3:48:57 AM	6/1/2020	13980964	$107.00	$71.00	$540.00	$96.00
16	05/23/2020	5/24/2020 1:23:18 AM	5/26/2020	13689674	$107.00	$71.00	$540.00	$96.00
15	05/16/2020	5/17/2020 9:35:52 AM	5/18/2020	13361793	$107.00	$71.00	$540.00	$96.00
14	05/09/2020	5/13/2020 12:14:49 AM	5/13/2020	13236676	$107.00	$71.00	$540.00	$96.00
13	05/02/2020	5/3/2020 8:52:44 PM	5/4/2020	12811229	$107.00	$71.00	$540.00	$96.00
12	04/25/2020	4/27/2020 9:06:55 AM	4/28/2020	12535435	$107.00	$71.00	$540.00	$96.00

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Rows: 100

[File Benefit Certification]

Payment Summary

Payment Summary

Payment Summary

Below are the details on how each weekly payment was determined.

Exhibit E

#	Week Ending	WBA	Earnings Claimed	Total Deductions	Stimulus Amount	Payment Amount	Pay Type	Trans Number
23	07/11/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	23989760
22	07/04/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	23512427
21	06/27/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	22880252
20	06/20/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	22313429
19	06/13/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	21875763
18	06/06/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	21579040
17	05/30/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	20999704
16	05/23/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	20606983
15	05/16/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	20157768
14	05/09/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	19989758
13	05/02/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	19408958
12	04/25/2020	$107.00	$0.00	$71.00	$540.00	$636.00	Taxes Withheld	19042339

Page 1 of 1

Rows: 100

Based on the policy of your financial institution it may take up to three days for funds to appear in your account.

Employers and Income During Base Period

Employers and Income During Base Period

Employers and Income During Base Period

Below are the employers for

Exhibit E

which you earned income during
your *Base Period of Monetary Determination.*
Weekly Benefit Amount: $107.00
Maximum Benefit Amount: $4,173.00

Employer	State Source	Q1 4/1/2019-6/30/2019	Q2 7/1/2019-9/30/2019	Q3 10/1/2019-12/31/2019	Q4 1/1/2020-3/31/2020	Total Wages	Wage %	SIDES
Total						**$0.00**		

Daily Benefit Amount History

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For more information on unemployment benefits click here to access Unemployment Services.

Transaction Record

Refund Policy: All payments are final and non-refundable.