

Shawn M. Lockett
5720 Foxcroft Dr.
New Orleans, LA 70128

State of Louisiana
Department of Civil Service
Louisiana Board of Ethics
P.O. Box 4368
Baton Rouge, LA 70821

August 15, 2020

Re: Late fee assessment for the October 24, 2015 Election
2017 Supplemental Campaign Finance Disclosure Report filed 755 days late

To the Louisiana Board of Ethics:

Please accept this correspondence as my request for a waiver of the \$600 late fee assessed for untimely filing of my 2017 Supplemental Campaign Finance Disclosure Report. I did not receive written notice regarding my deadline to file the 2017 Supplemental Campaign Finance Disclosure until 2020. Therefore, good cause exists to support my request for a waiver, as set forth below.

I ran as a candidate for public office in 2005 and 2015. I was not elected in either year. In 2005, I resided at **7941 Windward Court, New Orleans, LA 70128**. This address should be reflected on my 2005 qualifying materials. I received notice of deadlines to file Supplemental Campaign Finance Disclosure Reports related to that campaign and filed the same timely. Notably, during the years in which reports were due, I was only required to file the Supplemental Reports because I loaned myself in excess of \$10,000 to finance my campaign. I did not receive contributions or make payments on that loan after the election, as reflected in my reports. After a few years of filing the supplemental reports, I did not receive notice to file another supplemental report. My wife, Melanie Lockett, called your office on my behalf to inquire about the requirement and was advised that I no longer needed to file Supplemental Reports for the 2005 campaign.

In February 2015, I moved to **5720 Foxcroft Dr., New Orleans, LA 70128**. My address should be reflected on my 2015 qualifying materials. I complied with all filing requirements until the 2017 Supplemental Report deadline, including the filing of my 2016 Supplemental Report. I received notice of the 2016 Supplemental Report filing deadline, and I filed that report timely on February 15, 2017. Importantly, I did not receive notice of the 2017 Supplemental Report filing requirement or deadline until **2020**. Upon receipt of notice, my wife and I immediately called your office in a panic. We were advised that notice was

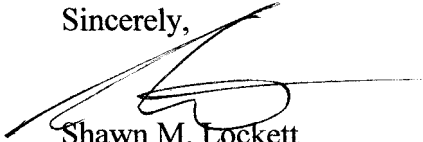
being sent to our **old** address at 7941 Windward Court, New Orleans, LA 70128.¹ I never received that notice and we explained the same to your office. Your office advised that a notation and change to the address was being made. Because I was previously advised that no report was due when I did not receive written notice of the filing requirement following my 2005 campaign, as stated above, I relied upon the written notice from your office to advise when reports were required and due. Again, in my 2015 election, I was only required to file the Supplemental Reports because I loaned myself sums to finance my campaign. I did not receive contributions or make payments on that loan after the election, as reflected in my reports.

After receiving notice in 2020, I satisfied the requirement and filed my 2017 Supplemental Campaign Finance Report. Notably, I received notice regarding my 2017, 2018, and 2019 Supplemental Campaign Finance Reports simultaneously in 2020. I filed all reports. Late fees of \$600 have been assessed as to each. The total fees incurred are substantial.

For the foregoing reasons, I respectfully request a waiver of the \$600 late fee assessed against me for the untimely filing of my 2017 Supplemental Campaign Finance Disclosure.

Thank you in advance for your consideration.

Sincerely,



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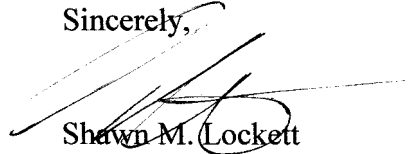
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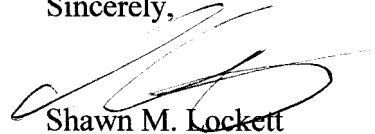
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