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CAMPAIGN FINANCE DIVISION

☒ WAIVER REQUEST

☐ RECONSIDERATION REQUEST

DATE: 2/10/2021

DOCKET #:

FILER INFORMATION

Name: James A. Gray, II

Office: D/A

Parish: Orleans

Election Date: 10/5/2002

Level of Office: Major

REPORT INFORMATION

Name of Report: SUPP2014

Original Due Date: 2/17/2015

Date Filed: 7/4/2017

Activity Receipts: \$-0

Expenditures: \$-0

Funds at Close of Reporting Period: \$ 2,886.93

LATE FEE INFORMATION

Amount of Late Fee: \$1000

Days Late: 868

Late Fee Order Received: 10/26/2020

Payment/Waiver Request Due Date: 11/16/2020

Waiver Request Received: 11/12/2020

Additional Information Requested:

- Medical
- Financial
- Other

COMMENTS: James Gray request a waiver and an appearance. He states the following: He does not believe that he received and notices of reports being due. He doesn't think that any reports were due during the period from 1995 to 2012. There was no activity during that period, until the 2012 election. In 2012 he hired a CPA to handle all of his reporting for the campaign that he started at that time and that person always assured him that she was filing all necessary reports. He realizes that a candidate is responsible for following the law but it was reasonable to hire and pay a CPA who was in the business of giving advice in this area and following her advice. This is what he did.

OTHER LATE FEE INFORMATION

Campaign Finance:

Other Outstanding Reports: No

Other Outstanding Late Fees: No

Prior Late Fees: Yes

Reassessed Late Fees: No

Disclosure Statements:

Other Outstanding Late Fees: No

Prior Late Fees: No

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DATE: 2/10/2021

DOCKET #:

FILER INFORMATION

Name: James A. Gray, II

Office: D/A

Parish: Orleans

Election Date: 10/5/2002

Level of Office: Major

REPORT INFORMATION

Name of Report: SUPP2015

Original Due Date: 2/16/2016

Date Filed: 7/4/2017

Activity Receipts: \$-0

Expenditures: \$-0

Funds at Close of Reporting Period: \$ 2,886.93

LATE FEE INFORMATION

Amount of Late Fee: \$1000

Days Late: 868

Late Fee Order Received: 10/26/2020

Payment/Waiver Request Due Date: 11/16/2020

Waiver Request Received: 11/12/2020

Additional Information Requested:

- Medical
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COMMENTS: James Gray request a waiver and an appearance. He states the following: He does not believe that he received and notices of reports being due. He doesn't think that any reports were due during the period from 1995 to 2012. There was no activity during that period, until the 2012 election. In 2012 he hired a CPA to handle all of his reporting for the campaign that he started at that time and that person always assured him that she was filing all necessary reports. He realizes that a candidate is responsible for following the law but it was reasonable to hire and pay a CPA who was in the business of giving advice in this area and following her advice. This is what he did.

OTHER LATE FEE INFORMATION

Campaign Finance:

Other Outstanding Reports: No

Other Outstanding Late Fees: No

Prior Late Fees: Yes

Reassessed Late Fees: No

Disclosure Statements:

Other Outstanding Late Fees: Yes

Prior Late Fees: No

CAMPAIGN FINANCE DIVISION

☒ WAIVER REQUEST

☐ RECONSIDERATION REQUEST

DATE: 2/12/2021

DOCKET #:

FILER INFORMATION

Name: James A. Gray, II

Office: D/A

Parish: Orleans

Election Date: 10/5/2002

Level of Office: Major

REPORT INFORMATION

Name of Report: SUPP2016

Original Due Date: 2/15/2017

Date Filed: 7/4/2017

Activity Receipts: \$-0

Expenditures: \$-0

Funds at Close of Reporting Period: \$2,886.93

LATE FEE INFORMATION

Amount of Late Fee: \$1000

Days Late: 139

Late Fee Order Received: 10/26/2020

Payment/Waiver Request Due Date: 11/16/2020

Waiver Request Received: 11/12/2020

Additional Information Requested:

- Medical
- Financial
- Other

COMMENTS: James Gray request a waiver and an appearance. He states the following: He does not believe that he received and notices of reports being due. He doesn't think that any reports were due during the period from 1995 to 2012. There was no activity during that period, until the 2012 election. In 2012 he hired a CPA to handle all of his reporting for the campaign that he started at that time and that person always assured him that she was filing all necessary reports. He realizes that a candidate is responsible for following the law but it was reasonable to hire and pay a CPA who was in the business of giving advice in this area and following her advice. This is what he did.

OTHER LATE FEE INFORMATION

Campaign Finance:

Other Outstanding Reports: No

Other Outstanding Late Fees: No

Prior Late Fees: Yes

Reassessed Late Fees: No

Disclosure Statements:

Other Outstanding Late Fees: Yes

Prior Late Fees: No

JAMES AUSTIN GRAY, II
6051 Winchester Park Drive
New Orleans, Louisiana 70128
November 13, 2020

Executive Secretary of the Louisiana Board of Ethics

P.O. Box 4368

Baton Rouge, Louisiana 70821

Fax (225) 381-7271

Attention: Executive Secretary of the Board of Ethics and of the Board of Ethics:

Appeal of Assessment and Request for a waiver, reduction or suspension of assessment

Dear Melissa Horn,

This appeal and request for waiver, reduction or suspension of assessment apply to the following:

Late fee assessment for the October 5, 2002 Election 2015 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the October 5, 2002 Election 2014 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the September 21, 1996 Election 2014 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the September 21, 1996 Election 2015 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the September 21, 1996 Election 2016 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the October 5, 2002 Election 2016 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the November 6, 2012 Election 2014 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the November 6, 2012 Election 2015 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the November 6, 2012 Election 2016 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the November 6, 2012 Election 2017 Supplemental Campaign Finance Disclosure Report,

Late fee assessment for the February 1, 2014 Election 10-G Campaign Finance Disclosure Report,

Late fee assessment for the October 14, 2017 Election 40-G Campaign Finance Disclosure Report,

Late fee assessment for the February 1, 2014 Election 2018 Supplemental Campaign Finance Disclosure Report,

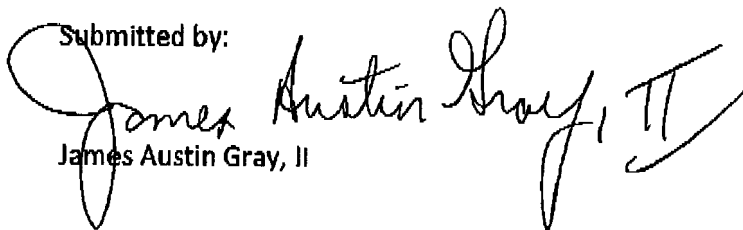
I would like to appear before the Board in connection with this request for waiver and I would like to have the panel for the appeal.

Reasons for the request made:

During much of the period of time of all of the reports in question I hired a professional to handle my reports. I was led to believe that all of the reports that were due were being timely filed. Many of these reports are for periods from 1996 and 2002. There was no activity until the 2012 election. I do not believe that I received and notices of reports being due. I don't think that any reports were due during the period from 1996 to 2012. There was no activity during that period. In 2012 I hired a CPA to handle all of my reporting for the campaign that I started at that time and that person always assured me that she was filing all necessary reports. I realize that a candidate is responsible for following the law but it was reasonable to hire and pay a CPA who was in the business of giving advice in this area and following her advice. This is what I did.

Submitted by:

James Austin Gray, II

A handwritten signature in black ink, reading "James Austin Gray, II". The signature is written in a cursive, flowing style. The first name "James" is written with a large, looped initial "J". The last name "Gray" is written with a large, looped initial "G". The suffix "II" is written at the end of the signature.

