



STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
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May 1, 2013

The Honorable Senator "Jody" Amedee
Chairman, Senate and Governmental Affairs
P.O. Box 94183
Baton Rouge, Louisiana 70804

Re: Promulgation of Amended Rules Regarding Investigation and Hearing Procedures (LAC 52: I. Chapters 7-8 and 10-11, *Louisiana Register*, Volume 39, No. 3, March 20, 2013, Page 521-525)

Dear Senator Amedee:

Pursuant to R.S. 49:968(D)(1)(b), the Louisiana Board of Ethics reports that no public hearing has been or will be held as to the proposed amended regulations concerning the investigation and hearing procedures of the Ethics Administration Program. The deadline to submit comments or request a public hearing for the proposed rules was April 10, 2013. No one submitted comments or requested a public hearing; therefore, no public hearing was scheduled.

If you would like to schedule a hearing before the Senate and Governmental Affairs Committee to consider these suggested rules, please contact Kristy Gary, Deputy Ethics Administrator or myself to provide such assistance as is necessary under these circumstances. Upon receiving a favorable recommendation, the Louisiana Board of Ethics anticipates the final rule will be published and the effective date of the proposed rules will be June 10, 2013.

Sincerely,

LOUISIANA BOARD OF ETHICS

A handwritten signature in black ink, appearing to read "Kathy A", with a long horizontal flourish extending to the right.

Kathleen M. Allen
For the Board

KMA:kfg



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May 1, 2013

The Honorable Timothy G. "Tim" Burns
Chairman, House and Governmental
Affairs Committee
P. O. Box 94062
Baton Rouge, Louisiana 70804

**Re: Promulgation of Amended Rules Regarding Investigation and Hearing
Procedures (LAC 52: I. Chapters 7-8 and 10-11, *Louisiana Register*, Volume
39, No. 3, March 20, 2013, Page 521-525)**

Dear Representative Burns:

Pursuant to R.S. 49:968(D)(1)(b), the Louisiana Board of Ethics reports that no public hearing has been or will be held as to the proposed amended regulations concerning the investigation and hearing procedures of the Ethics Administration Program. The deadline to submit comments or request a public hearing for the proposed rules was April 10, 2013. No one submitted comments or requested a public hearing; therefore, no public hearing was scheduled.

If you would like to schedule a hearing before the House and Governmental Affairs Committee to consider these suggested rules, please contact Kristy Gary, Deputy Ethics Administrator or myself to provide such assistance as is necessary under these circumstances. Upon receiving a favorable recommendation, the Louisiana Board of Ethics anticipates the final rule will be published and the effective date of the proposed rules will be June 10, 2013.

Sincerely,

LOUISIANA BOARD OF ETHICS

Kathleen M. Allen
For the Board

KMA:kfg

Notices of Intent

NOTICE OF INTENT

Department of Civil Service Board of Ethics

Investigation and Hearing Procedures
(LAC 52:I.Chapters 7-8 and 10-11)

In accordance with the provisions of the Administrative Procedure Act, R.S. 49:950 et seq., notice is hereby given that the Department of Civil Service, Louisiana Board of Ethics, has initiated rulemaking procedures to make amendments to the rules for the Board of Ethics to bring the rules into compliance with current statutory provisions of the *Code of Governmental Ethics*.

Title 52 ETHICS

Part I. Board of Ethics

Chapter 7. Complaints

§703. Consideration of Other Information Concerning Possible Violations

A. Except as otherwise provided by law, the board may, by two-thirds majority vote (eight votes) of its membership, consider any matter which it has reason to believe may be a violation of any law within its jurisdiction including, but not limited to, a notice or report sent to the board by the legislative auditor, the inspector general, or otherwise received, and on such consideration may close the file, refer the matter to investigation, or take such other action as it deems appropriate.

B. - C. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1292 (October 1997), amended LR 39:

§704. Notification of Investigation

A. If the board votes to refer a matter to investigation the executive secretary shall mail by certified mail a certified copy of the vote and an explanation of the matter to the subject of the non-sworn complaint or other matter as provided in §703 of these rules within 10 days after the vote occurs, along with a copy any complaint which redacts information about the identity of the complainant.

B. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1292 (October 1997), amended LR 30:2668 (December 2004), LR 39:

§708. Complaints; Action by the Board

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1292 (October 1997), amended LR 30:2668 (December 2004), repealed LR 39:

Chapter 8. Investigations

§801. Referrals to Investigation

A. When the board orders an investigation, it shall be the staff of the board that conducts the investigation and completes the investigation report.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 39:

§802. Board Investigation

A. Upon completion of an investigation, the report shall be presented to the board by its attorney(s) and shall be reviewed by the board. The board shall decide whether:

1. ...
2. charges should be filed;
3. - 4. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1293 (October 1997), amended LR 39:

§803. Panel Recommendation; Procedure

A. If the board elects to sit in panels and an investigation is ordered by the board, once the investigation is completed and the report reviewed by the panel, the panel shall make a recommendation to the board that:

1. ...
2. charges should be filed;
3. - 4. ...

B. After receiving the panel's recommendation, the board shall determine whether to accept the panel's recommendation or to take such other action as it deems appropriate.

2. - 3. Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1293 (October 1997), amended LR 39:

§804. Investigation; Procedure

A. During the course of an investigation, subpoena duces tecums and subpoenas may be issued to a respondent or witness at the request of the staff of the investigative division of the board. The subpoena duces tecums and subpoenas shall be issued by the executive secretary of the board upon presentation of a factual basis alleging a nexus between the object of the subpoena duces tecum and evidence of a possible violation of a law under the jurisdiction of the board. The factual basis used to issue the subpoena shall be a confidential document pursuant to R.S. 42:1141.4(K) and shall not be dispensed to the public, respondent, or the recipient of the subpoena.

B. During the course of the investigation, interrogatories may be issued by the staff of the investigative division of the board.

C. Subpoenas, subpoenas duces tecum, and interrogatories may be served on a person in any of the following manners:

1. by personal service upon the person or his attorney of record by any law enforcement officer or agent of the board;

2. by domiciliary service upon a person of majority age at the residence of the person by any law enforcement officer or agent of the board;

3. by certified mail, return receipt requested to the person or his attorney of record; or

4. service may be waived by the person or his attorney of record.

D. The return of documents or testimony of a respondent or witness pursuant to a subpoena or subpoena duces tecum shall be made under oath administered by the board, a member of the board's staff or a court reporter.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORIAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 39:

§806. Investigations; Confidential

A. All investigations shall be privileged and confidential.

B. All investigations shall be conducted at the direction of an attorney for the board.

C. The board or its staff shall not disclose subpoenas served, documents requested, or any information or documents gained from its investigations to any person or the general public, except under the following situations:

1. to a respondent or potential witness with sufficient information in order to allow for proper preparation for an interview, subpoena or document production; and

2. to afford a respondent or witness an opportunity to address evidence or testimony gained from another source. The disclosure of such information is at the sole discretion of the investigative and legal staff of the board.

D. The confidential nature of investigations shall encompass and prohibit the disclosure by a respondent or by a witness of any interview conducted, subpoena served, document requested, document delivered, testimony given, question asked and any other evidence provided.

E. A respondent or witness is not entitled to be present or participate in the interview or deposition of any other witness.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORIAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 39:

§808. Investigations; Investigation Reports

A. All documents, testimony or other information received by the staff of the board in connection with an investigation shall be privileged and protected from disclosure absent the waiver of said privilege by the board.

B. An investigative report shall be presented to the board by its attorney(s) for its consideration and deliberation in executive session.

C. In executive session, the board shall receive the presentation of the investigative report by its attorney(s) and review all relevant information and documents within the

board's possession and knowledge and, thereafter, take one of the following actions:

1. order further investigation;

2. file charges; or

3. close the file.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORIAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 39:

Chapter 10. Declaratory Hearings

§1001. Private Hearings

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1293 (October 1997), repealed LR 39:

§1002. Initiating Declaratory Hearings

A. Declaratory hearings may be conducted, at the discretion of the board, upon submission of an application pursuant to R.S. 42:1141.1.

B. The application shall be in writing and shall contain the following information:

1. the name, address, and telephone number of the applicant;

2. identification of the statutes, rules or opinions subject of the application;

3. the question presented to the board for ruling;

4. a concise statement of all particular facts necessary and sufficient to accomplish the following:

a. to show the nature of the controversy or uncertainty and the manner in which the rule or statute on which the declaratory ruling is sought applies or potentially applies to the applicant; and

b. to answer the question presented to the board for ruling.

5. a statement identifying all statutes, rules, or opinions that are relevant to the question presented by the applicant;

6. a statement of the reasons for submitting the application, including a full disclosure of the petitioner's interest in obtaining the declaratory opinion;

7. a statement as to whether the question presented by the applicant is presently pending before or under consideration by the board or any other administrative, legislative, or adjudicative body;

8. a statement as to whether the applicant has some other adequate legal remedy that will terminate the controversy or remove any uncertainty as to the applicability to the applicant or the circumstances cited of the statute, rule or opinion in question; and

9. an affidavit that verifies the facts stated in the application are true and correctly stated, and the verification is based on the documents attached to or identified in the application or based on the affiant's personal knowledge.

C. The application for declaratory opinion should be filed with the executive secretary of the Louisiana Board of Ethics.

D. The application for declaratory opinion may be accompanied by a memorandum urging the department to issue a declaratory opinion of specified content. Such memorandum shall not exceed 25 pages in length, exclusive

of cover pages, table of content, index of authority and exhibits.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1293 (October 1997), amended LR 39:

§1003. Assigning Declaratory Hearing

A. After receipt of the application, the board or panel thereof, at its next scheduled board meeting, if the application is granted, shall fix the time and place for the hearing on the applications.

B. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1293 (October 1997), amended LR 39:

§1004. Place of Public Hearing

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1294 (October 1997), repealed LR 39:

§1005. Notice of Public Hearing

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1294 (October 1997), amended LR 30:2669 (December 2004), repealed LR 39:

§1006. Continuance of Public Hearing

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1294 (October 1997), repealed LR 39:

§1007. Procedure in Hearings

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1294 (October 1997), repealed LR 39:

§1009. Subpoena of Witnesses and Production of Documents

A. The board, the ethics administrator, the executive secretary, and any specially designated agent of the board, shall have power to order the appearance of witnesses and to compel the production of books and papers pertinent to the issues involved in the hearing.

B. Any applicant desiring the issuance of a subpoena for any witness at the public hearing must apply for it, in writing, at least 10 days before the date fixed for the hearing and must give the name and physical address of the witness to whom the subpoena is to be directed.

C. In lieu of the issuance and service of formal subpoenas to state employees, the board or any person authorized by §1009.A, may request any agency to order any designated employee under its supervision to attend and testify at the hearing, and, upon being so ordered, the employee shall appear and furnish testimony.

D. Any applicant desiring the production of books, papers, photographs, or other items at any public hearing must apply for an appropriate order, in writing, at least 10 days before the date fixed for the hearing. Such request for the issuance of a subpoena duces tecum must describe the books or papers to be produced in sufficient detail for identification, must give the full name and physical address of the person required to make such production and the materiality of their production to the issues must be certified to by the applicant or his counsel.

E. A subpoena duces tecum issued pursuant to §1009 shall be returnable at the hearing or at such earlier date, time, and place as specified therein.

F. ...

G. The board or its chairman may, for cause deemed sufficient, issue an appropriate order at any time recalling any subpoena, subpoena duces tecum, or request issued by it or him under the provisions of this rule. The applicant may likewise obtain an order from the board recalling any subpoena, subpoena duces tecum, or request issued or caused to be issued by him.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1295 (October 1997), amended LR 39:

§1010. Exclusion of Witnesses

A. The board, on request of any applicant, an attorney for an applicant or the trial attorney, shall, or on its own motion, may order that the witnesses in any hearing be excluded so as to preclude any witnesses, other than the applicants, their attorneys and the trial attorney, from hearing the testimony of any other witnesses. If so ordered, all witnesses shall be administered an oath and admonished not to discuss their testimony until the conclusion of the proceeding, except with counsel.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1295 (October 1997), amended LR 39:

§1011. Summary Disposition of Charges

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1295 (October 1997), repealed LR 39:

§1012. Consolidation of Public Hearings

A. When applications for declaratory opinions of two or more applicants involve similar or related circumstances, the board may, on its own motion, on motion of the trial attorney or on motion of an applicant, order a joint hearing of all applicants or may order separate hearings for specified applicants.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1295 (October 1997), amended LR 26:627 (April 2000), LR 39:

§1013. Transcripts of Hearings

A. The proceedings of all hearings shall be recorded, but shall be transcribed only upon order of the board or upon request made by an applicant therein, accompanied by

proffer of such cost as may be determined by the executive secretary.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), amended LR 39:

§1014. Witness Fees in Hearings

A. ...

B. The board may order that any person who is not an officer or employee of a state department and who is subpoenaed to testify or provide documents at a public hearing shall be entitled to the same mileage and fees as are allowed witnesses in civil cases by the Nineteenth Judicial District Court for the Parish of East Baton Rouge.

C. If a witness is subpoenaed at the request of the applicant, the board may order the same cost of witness fees and mileage to be paid by the applicant.

D. The board or the executive secretary, before issuing a subpoena, may require the party requesting the subpoena to deposit with the executive secretary a sum sufficient to cover the mileage costs and witness fees, pending a determination of costs by the board.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), amended LR 39:

§1015. Costs of Public Hearings

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), repealed LR 39:

§1016. Interlocutory Rulings

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), repealed LR 39:

§1017. Board Action Following Hearings

A. Following the close of a hearing, the board may either render its opinion or take the matter under advisement. In either event, the board may deliberate in general or executive session for the purpose of reaching a determination. The opinion may be made orally by dictating findings of fact and conclusions of law into the record or by causing a written opinion to be confected. If the matter is taken under advisement, the board shall have 90 days within which to render a decision.

B. ...

C. Except as otherwise specifically ordered by the board, the decision of the board shall be final:

1. on the date of mailing of notice to the applicant of the board's opinion, along with a certified copy of the approved minutes of the board, if the board renders its decision orally; or

2. ...

D. The executive secretary shall notify the applicant, or his counsel, of the board's decision, in writing, within 10 days of the board's final decision.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), amended LR 39:

§1018. Rehearings

A. Any person aggrieved may apply to the board for a rehearing, in writing, within 10 days from the date the board's decision becomes final. The grounds for an application for a rehearing shall be that:

1. the opinion is clearly contrary to the law and the evidence;

2. - 4. ...

B. The application for a rehearing shall set forth the grounds which justify such action and shall be accompanied by a written brief or argument in support thereof, along with an affidavit as set forth in §1009.B.9.

C. - D. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), amended LR 39:

Chapter 11. Pre-Hearing Procedure

§1101. Discovery

A. Any public servant or other person who has been notified that he is to be the subject of a public hearing pursuant to the provisions of R.S. 42:1141(E), and the trial attorney and general counsel for the board shall be entitled to conduct discovery regarding any matter, not privileged, which is relevant to the pending public hearing. It is not grounds for objection that the information sought will be inadmissible at the hearing if the information sought appears reasonably calculated to lead to the discovery of admissible evidence.

B. Upon the filing of charges for violations of any law under the jurisdiction of the board, the respondent and the board, through its trial attorney(s) or general counsel, shall be granted the right of discovery in the following manner.

1. Depositions shall be allowed until within two weeks of trial.

2. All documents intended for admission at trial, motion or hearing shall be copied and delivered to the respondent and to the trial attorney(s). The delivery shall be provided within 15 days of receipt of a request for production of said documents. Additions shall be allowed upon a showing of good cause, lack of bad faith or joint consent.

3. All other documents within the possession of the trial attorney or the respondent or his counsel, except documents that reveal the identity of the complainant or are otherwise privileged, shall be made available for inspection or may be copied within 15 days of receipt of a request for production of said documents.

4. Any exculpatory or mitigating documents, that are not otherwise privileged, shall be delivered to the trial attorney or the respondent or his counsel within 15 days of receipt of a request for production of documents for said documents.

5. An expected witness list shall be produced to the opposing party at least 30 days subsequent to a request for such information. Additions shall be allowed upon a showing of good cause, lack of bad faith or joint consent.

6. All written statements of any witnesses intended to be called at a hearing or trial shall be delivered within 15 days of receipt of a request for production of said documents.

7. No work product of attorneys and no investigation reports shall be delivered to the respondent or his attorney.

8. The trial attorney(s) and any respondent or his attorney may serve upon each other written interrogatories or requests for admissions, pursuant to the provisions of applicable *Code of Civil Procedure* articles, to be answered by the party served within 15 days of receipt. Written interrogatories served in accordance with this provision shall not exceed 35 in number, including subparts.

C. - D. ...

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1296 (October 1997), amended LR 39:

§1102. Motions and Exceptions

A. Motions and exceptions may be made before, during, or after a public hearing.

B. Motions and exceptions made before or after the public hearing shall be filed with the appropriate panel of the Ethics Adjudicatory Board. Contradictory motions and exceptions shall be accompanied by a memorandum which shall set forth a concise statement of the grounds upon which the relief sought is based and the legal authority therefore.

C. A motion for summary judgment may be filed by either the respondent or the trial attorney(s)

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1297 (October 1997), amended LR 39:

§1103. Pre Hearing Notices

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1297 (October 1997), repealed LR 39:

§1104. Pre Hearing Conference

Repealed.

AUTHORITY NOTE: Promulgated in accordance with R.S. 42:1134(A).

HISTORICAL NOTE: Promulgated by the Department of Civil Service, Board of Ethics, LR 23:1297 (October 1997), repealed LR 39:

Family Impact Statement

The proposed Rule changes have no impact on family formation, stability or autonomy, as described in R.S. 49:972.

Poverty Impact Statement

The proposed Rule changes have no impact on poverty, as described in R.S. 49:972.

Small Business Statement

The proposed Rule changes have no impact on adverse impact on small businesses as defined in the Regulatory Flexibility Act.

Public Comments

Interested persons may direct their comments to Kathleen M. Allen, Louisiana Board of Ethics, P.O. Box 4368, Baton

Rouge, LA 70821, telephone (225) 219-5600, until 4:45 p.m. on April 10, 2012.

Kathleen M. Allen
Ethics Administrator

FISCAL AND ECONOMIC IMPACT STATEMENT FOR ADMINISTRATIVE RULES RULE TITLE: Investigation and Hearing Procedures

I. ESTIMATED IMPLEMENTATION COSTS (SAVINGS) TO STATE OR LOCAL GOVERNMENT UNITS (Summary)

The proposed rule change will have no anticipated implementation costs other than the minimal publishing costs. The proposed administrative rule makes changes with respect to notification of investigations to respondents, the investigation procedures as to subpoenas and the confidentiality provisions, the hearing procedures, and discovery prior to the hearings.

II. ESTIMATED EFFECT ON REVENUE COLLECTIONS OF STATE OR LOCAL GOVERNMENTAL UNITS (Summary)

The proposed rule change will have no anticipated effect on revenue collections of state or local governmental units.

III. ESTIMATED COSTS AND/OR ECONOMIC BENEFITS TO DIRECTLY AFFECTED PERSONS OR NONGOVERNMENTAL GROUPS (Summary)

The proposed rule change will have no anticipated economic impact on those affected, which includes those individuals referred to investigation, requesting declaratory opinions, and those against whom charges are filed.

IV. ESTIMATED EFFECT ON COMPETITION AND EMPLOYMENT (Summary)

The proposed rule change will have no anticipated effect on competition and employment.

Kathleen M. Allen
Ethics Administrator
1303#033

Evan Brasseaux
Staff Director
Legislative Fiscal Office